Repurposing bilevel ventilators for use with intubated patients while minimizing risk to healthcare workers during insufficient supply of conventional ventilation for patients with COVID-19

Advisory on the Use of ResMed VPAP ST
(ResMed, Australia; ResMed USA, San Diego)

Because of an automatic shut-off feature in the ResMed bilevel (S9 VPAP™ and AirCurve™), these devices should NOT be used for invasive ventilation without additional modification to the circuit unless no other options exist.

The ResMed VPAP™ ST is designed to be a noninvasive ventilator. Incorporation of supplemental oxygen at > 15 l/min into the circuit anywhere distal to the blower should be undertaken with extreme caution and only after modifying the circuit with a second exhalation valve close to the blower and proximal to the O₂ bleed in.

At a set CPAP or EPAP > 10 cmH₂O, oxygen flows > 15 l/min in a circuit with a single standard exhalation port can result in an unanticipated device shut-off and patient harm. This shut-off does not occur at EPAP ≤ 10 cmH₂O or when O₂ flow < 15 l/min.

At supplemental oxygen flows of 15 l/min, the maximum achievable FiO₂ will be no higher than 60%. If a patient requires FiO₂ > 60% or PEEP > 10 cmH₂O, the circuit must be modified with the addition of a second exhalation port, or the use of another brand of bilevel or a conventional invasive ventilator should be considered.

Exercise extreme caution when EPAP requirements exceed 10 cmH₂O and FiO₂ requirements exceed 60% unless 2 exhalation ports are used!
Repurposing bilevel ventilators for use with intubated patients while minimizing risk to healthcare workers during insufficient supply of conventional ventilation for patients with COVID-19

Version 3.1 [Apr 27 2020]
Mount Sinai Health System

Current Working Protocol – Subject to Revision

This current working protocol is subject to revision. It is expected this document will be updated and re-released as additional experience is accumulated.

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For additional information and associated documents, please see below links:

Primer - Theory and Background for Bilevel Repurposing
Protocol - Repurposing of Bilevel Devices for Invasive Ventilation
Clinical Guidelines / Operating Checklist - Philips Respironics Devices
Clinical Guidelines / Operating Checklist - ResMed Devices
Monitoring and Alarm Guidelines / Construction
Construction of an Anesthesia Circuit Component Diagram
Construction of a Bilevel Circuit Component Diagram
Frequently Asked Questions

This document should be used as a clinical adjunct to the protocol “Repurposing bilevel ventilators for use with intubated patients while minimizing risk to health care workers during insufficient supply of conventional ventilation for patients with COVID-19” and is shared with our health care colleagues to increase knowledge about potential solutions to increase the capacity and access to mechanical ventilation during the COVID-19 crisis. Icahn School of Medicine does not warrant the contents or effectiveness of the protocol, and the use and implementation of this protocol should be first reviewed and evaluated with each hospital’s medical staff.
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This document should be used as a clinical adjunct to the protocol “Repurposing bilevel ventilators for use with intubated patients while minimizing risk to health care workers during insufficient supply of conventional ventilation for patients with COVID-19” and is shared with our health care colleagues to increase knowledge about potential solutions to increase the capacity and access to mechanical ventilation during the COVID-19 crisis. Icahn School of Medicine does not warrant the contents or effectiveness of the protocol, and the use and implementation of this protocol should be first reviewed and evaluated with each hospital’s medical staff.
1. EXECUTIVE SUMMARY

The available supply of invasive mechanical ventilators is inadequate to fulfill the anticipated demand for these devices in a COVID-19 pandemic. These devices are required to support patients who present with acute hypoxemic respiratory failure secondary to viral infection. The recent development of approaches to modify invasive ventilators to support two patients may extend the supply of devices available to treat selected patients, yet it is unlikely to completely meet demand.

There is an abundant supply of non-invasive bi-level ventilators that are typically used to treat sleep disordered breathing. These devices can be modified to provide safe and monitored ventilation to patients with acute hypoxemic respiratory failure; the availability of these devices for repurposing is such that supply will be sufficient to better position hospitals to meet the anticipated ventilation device demand during the COVID-19 pandemic.

Experience accumulated from a long history of non-invasive ventilation by mask and anecdotal use of these systems with intubated patients suggests that these devices can provide adequate ventilation in a crisis. We have determined that bi-level ventilators intended for non-invasive use can deliver adequate ventilator pressures to support most patients with acute hypoxemic respiratory failure. Virus aerosolization and subsequent exposure of healthcare workers to the virus is a significant risk associated with use of non-invasive ventilators in the setting of COVID-19. This risk is mitigated by replacing the porous mask interface with a closed circuit delivering tidal volume via an endotracheal tube and by use of expiratory port filters. Bilevel non-invasive ventilators such as the Philips Respironics DreamStation device can be modified to add monitoring devices to allow for precise measurement and display of inspired oxygen concentration, tidal volume delivery, and expired carbon dioxide levels so that the adequacy of ventilation support can be assessed continuously from outside of a patient’s room.

Taken together, we have developed a protocol that is capable of ventilating and monitoring patients with acute respiratory failure and mitigates the significant potential risks of exposure. A bilevel device has been tested in the Simulation HELPS Center at Mount Sinai, and the protocol has been validated in a clinical setting. We suggest that this system to repurpose noninvasive ventilators for invasive support of COVID-19 patients with acute hypoxemic respiratory failure can be utilized in the situation in which there is an insufficient supply of conventional respiratory ventilators.
2. KEY PROTOCOL RISKS & SAFETY FEATURES

The primary potential risks of using this protocol to repurpose a bilevel device (e.g. a Philips Respironics DreamStation) are the risk of respiratory contamination from aerosolization, limitation of high oxygen delivery, and delayed detection of hypoventilation and hyperventilation as described in Table 1.

Table 1: Potential Risks and Mitigation Strategies

<table>
<thead>
<tr>
<th>Risk</th>
<th>Risk Mitigation</th>
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<tbody>
<tr>
<td>Respiratory contamination from aerosolization, increasing risk to</td>
<td>Intubation with delivery of ventilation through an endotracheal tube is expected to reduce the amount of exhaled gas vented into the room, other</td>
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<tr>
<td>health care workers, if unmodified circuits are used.</td>
<td>than by the ventilator circuit, to near-zero. The ventilator circuit components and configuration are designed to capture and filter all gas exhaled</td>
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<td>by the patient with non-invasive single limb circuits.</td>
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<tr>
<td>Limitation of high O₂ delivery imposed by the circuitry</td>
<td>Modifications of the circuit are intended to maximize inspired O₂ levels if needed. Saturation monitoring will be used, and patients will be</td>
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<td>switched to conventional respirators if needed (i.e. low O₂ saturation despite maximal settings) if such ventilators are available.</td>
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<tr>
<td>Delayed detection of hypoventilation</td>
<td>Monitoring, including use of saturation monitoring and of capnography where available. Alarms to alert hospital personnel to circuit disconnection</td>
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<td>will be added as available, but are not a standard part of these devices.</td>
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<tr>
<td>Delayed detection of hyperventilation</td>
<td>Non-invasive ventilators are not likely to deliver sufficient ventilation to hyperventililate at maximal settings. Monitoring of end-tidal CO₂,</td>
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<td>if available, may suggest hyperventilation but should be confirmed by an ABG. Turning down the rate or level of ventilator support (IPAP-EPAP) will</td>
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<td>reduce minute ventilation.</td>
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3. EQUIPMENT AND SUPPLIES

Specific equipment required may vary depending on supplies and equipment available.

1. Respironics DreamStation (alternate bilevel devices would be acceptable)

WARNING: For the ResMed VPAP™ ST and Resmed AirCurve™, at a set CPAP or EPAP > 10 cmH₂O, oxygen flows > 15 l/m in a circuit with a standard exhalation port can result in an unanticipated device shut-off and patient harm. This shut-off does not occur at EPAP ≤ 10 cm H₂O or when O₂ flow < 15 l/m.
2. Universal Standard cuff adaptor with 22mm interior diameter
3. Exhalation port device with high resistance (x 2)
4. Antimicrobial / Viral filter (x2)
5. Ventilation tubing with separate exhalation port
6. Oxygen feed port (w/20’ universal connector oxygen tubing, capped)
7. Second high resistance exhalation port with attached antimicrobial/viral filter
8. Gas sampling adaptor for CO₂ and flow monitoring
9. HME (inline moisture exchanger) if inline heated humidifier is unavailable
10. Endotracheal tube
4. SETTING UP BILEVEL DEVICE (DREAMSTATION) AND CIRCUIT

Step 1: Set up DREAMSTATION device by plugging it in, turning it on and holding down the “turn knob” and “ramp button” together for 5 seconds to enter Clinical Mode.

Rotate the control dial and press to select setting, rotate again to change the setting.
Press the control dial to save the change.
Step 2: Connect 22mm Universal Standard cuff adaptor

Step 3: Connect high resistance exhalation port and antimicrobial/viral filter

Step 4: Connect DREAMSTATION tubing circuit

- Preferably standard circuit with separate exhalation port (e.g. Fisher Paykel RT 219)
  - If needed, any standard ventilator tubing can be used, but an exhalation port device must be added if it is not included
- Circuit MUST include exhalation “leak-port”
  - If using standard respiratory tubing, can add standalone exhalation port (e.g. Fisher & Paykel RT017 or Philips Respironics 1065775)
Step 5 (optional): When available, add inline heated humidifier if available (e.g. Fisher & Paykel stand-alone humidifier)

Step 6: Connect inline O₂ feed port with oxygen tubing

- This should be near the patient, e.g. at end of tubing.
Step 7: Add second high resistance exhalation port with antimicrobial/viral filter

Step 8: If available, attach gas sampling adaptor for CO₂ and flow monitoring
  - This is for monitoring only and does not affect system performance
Step 9: Use inline heat and moisture exchanger (HME) if heated humidification via reservoir is unavailable

Step 10: Set to Bilevel ST mode. Suggested default settings at a glance (refer to step 12 and Clinician Guide for details):

- ST mode
- AAM off
- IPAP 25.0
- EPAP 15.0
- Backup rate (BPM) 20
- Ti 1.0 second
Step 11: Attach setup above to ET tube and patient

- The cuff on ET tube should be inflated.

Step 12: Initiate ventilation (see Clinician Guide for further details)

1. Set Mode: ST (do not use CPAP, S or T modes)
2. Set IPAP: Start at 25 (max = 30 cmH₂O)
3. EPAP 15
   a. Do not increase EPAP if ventilation is needed, can use higher EPAP if PEEP (oxygenation) alone is needed
   b. NOTE: Increasing EPAP alone without increasing IPAP will reduce ventilation
4. Check Tidal Volume (TV) read out (on gas sampling/flow monitor if available)
   a. Calculate what TV is 6 cc/kg (IBW) for patient (usually 300-400 cc). If not using low tidal volume ventilation, determine clinically acceptable TV goal.
   b. If TV is over goal, IPAP can be reduced (or EPAP raised if additional support for oxygenation is needed). If TV is under goal, IPAP should be increased (if not already at maximum of 30 cmH₂O for select Philips Respironics devices, such as DreamStation / System One, and 25 cmH₂O for select ResMed devices, such as S9 VPAP™ ST - refer to device specific Clinician Guide for details).

SAFETY CHECK: If available, connect a “test lung” to the end of circuit and verify that it inflates when setting DREAMSTATION to IPAP 25, EPAP 15, Resp Rate 20
5. Set Respiratory Rate:
   a. Set initially at 5 less than patient’s spontaneous respiratory rate, or match patient’s set rate on ventilator if transitioning from a conventional ventilator. (Respiratory rate should usually be no less than 15 and can be as high as 25-30, but level of FiO₂ attained may worsen with increasing rates)
   b. Check FiO₂ via gas sampling/flow monitor if available
   c. Set Ti: 1 second
   d. Set Rise time: 1 (refer to Operational Checklist and Instructions for RISE options)

*If the patient’s ventilation needs cannot be met by the above IPAP/EPAP and RR settings, an alternate ventilator should be considered *

*If using a ResMed device, please refer to Clinician Guidelines for additional details on Ti Min/Max*

*IMPORTANT: Disconnecting the invasive bilevel circuit is an aerosol-generating procedure. Anyone present for this procedure must wear appropriate PPE, including eye protection and an N95-or-equivalent respirator.

5. PATIENT SELECTION

This mode of ventilation is expected to be similar to pressure control ventilation. Patients who meet the following criteria may be eligible for treatment with this mode of ventilation:

1. Patients with milder respiratory failure who still need ventilator support. OR
2. All patients if there is no other ventilator available. It is likely to work best in those with higher lung compliance (appears to be relatively frequent in many COVID-19 patients). OR
3. Patients being weaned from mechanical ventilation as they stabilize and improve may benefit equally from this ventilator and thus free up more advanced ventilators.

NOTE:

• Patients with need for high levels of FiO₂ may be eligible, but this should not be done with the ResMed VPAP™ ST or AirCurve™ devices, as high flow of supplemental O₂ may cause unexpected shut-down of the device. For other brands of blower, high flow O₂ or a second O₂ input port or further modifications of the leak circuit will be required. The simplest modification to increase delivered FiO₂ is to utilize the second oxygen bleed in (see circuit) with an additional 15 l/min O₂ (up to 30 l/min O₂ total for two ports). In order to prevent backup of oxygen into the bilevel blower, do not increase bleed-in of oxygen to a level that produces FiO₂ > 90% at the endotracheal tube.
• Because of dyspnea and risk of patient self-extubation, it is expected many patients may require sedation or even paralysis. While this likely will improve efficacy of mechanical ventilation, it carries an added risk in these patients due to the lack of alarms and backups available with these ventilators. Due to the lack of alternative, this is a risk that may be unavoidable and must be mitigated by minimizing sedation and paralysis, and maximizing supervision by staff of the patient. However, if an alarm for disconnect can be provided (e.g., via a separate pressure monitor, or using the existing monitoring port for volume, CO₂, etc., and existing software connections) this alarm is highly desirable and should be set.

6. MONITORING & SUPPORT DURING USE OF INVASIVE BILEVEL VENTILATION

Bilevel devices such as the DreamStation were never intended to be life support devices and thus have no safety alarms. Because of this, close observation of the patient is critical, and there should be additional considerations to patient safety and comfort such as sedation and neuromuscular blockages.

Due to the highly contagious nature of COVID-19 and the risk of infection with close contact, it is unlikely there will be a healthcare worker in the room to monitor the patient. To mitigate risk of infection of healthcare workers, these patients will be monitored from outside the room whenever possible after initial stabilization post-intubation using telemetry (where available). Frequent spot checks will be performed if telemetry equipment is limited. Spot checks should include:

1. Monitoring of O₂ sat via continuous pulse-oximetry
2. End tidal CO₂ and respiratory rate (low or high), when available
3. Tidal volume, FiO₂ and flow monitoring via telemetry equipped with spirometry module (e.g., GE Carescape monitor with D-fend Pro + spirometry module)
   a. If this module is used, alarms can be introduced

7. CARING FOR PATIENTS USING INVASIVE BILEVEL VENTILATION

Managing Shift Changes

Each time there is a shift change for staff caring for patients being treated with a bilevel device, outgoing and incoming staff should review key safety elements, including the following:

• Location of this protocol
  o Must be available at the patient’s bedside at all times

• State of sedation and paralysis of the patients
  o If there is no spontaneous respiratory effort, added supervision is needed.

• Circuit configuration, including how to reconnect if ever dislodged or disconnected.

• Potential availability of acute airway and respiratory backup support devices, including location of bag valve mask and locations of rescue ventilators nearby (where available)
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- Inspection of expiratory filter and replacement if wet (This will increase resistance and reduce CO₂ venting.)

Key Considerations to Take into Account Before Making Changes to Settings:

- Higher pressures (both IPAP and EPAP) will increase the leak through the expiratory port. This may lower the FiO₂ due to added entrainment of room air.
  - Increase bleed into the circuit of O₂ from second port if this occurs (if not already at max).
  - Check FiO₂ with gas sampling/flow monitor, if available.
    - If FiO₂ is already at 100% and saturations are inadequate, escalation of ventilator strategy may be needed.
    - Further increase in FiO₂ requires modification of circuit to reduce leak flow.

- IF THE EXHALATION LEAK PORT IS MODIFIED, pressures in the circuit to below an average pressure of 10-15 may drop the leak flow below 15 l/m (the minimum to prevent CO₂ rebreathing) and cause hypercapnia.
  - This will not happen with the commercially available unmodified expiratory port unless there is blockage of the port or any attached filter, as by secretions. Promptly examine port and filter for patency if patient experiences increasing hypercapnia.

If possible, a standard ventilator should be available for use in case the patient cannot be maintained on the repurposed bilevel (e.g. Philips Respironics DreamStation). It is understood, however, that a standard ventilator is not likely to be present as the primary purpose of this protocol is to deal with limited availability of standard ventilators.

8. ADMINISTRATIVE AND ETHICAL CONSIDERATIONS

Hospital administration must approve this protocol before use, acknowledging the unique ethical considerations.

This protocol is only appropriate for consideration when (i) crisis standards have been instituted, (ii) there are not enough ventilators to meet demand for ventilation of intubated patients with a reasonable probability that intubation/ventilation will be lifesaving.

Ethically, it must be recognized that the conversion of ventilators meant for non-invasive ventilation to use with intubated patients is not the usual standard of care, but in the setting of a mass crisis, such as the COVID-19 pandemic, the number of potentially rescuable patients may exceed the number of ventilators to support them. Initial experience with the repurposed noninvasive ventilators indicates that the proposed use of these devices as outlined above offers the best chance at saving the most lives in the current pandemic climate. The use of the repurposed non-invasive ventilators should be discontinued as soon as a sufficient supply of ventilators becomes available.